

Discrete Mathematics

Sebastian Iwanowski
FH Wedel

Chapter 3: Proof concepts

References:

Iwanowski/Lang 3 (in German)

Epp 3, 4.1-4.4, 7.4

Rosen 1.5, 3.1-3.4, 4.2

3. Proof Concepts

3.1 Glossary of mathematical structures

- **Assumptions: Axioms**

Axioms are assumptions that need not be proved.

They are implicate (i.e. mainly not mentioned) prerequisites for a lot of propositions.

- **Notation: Definitions**

Definitions are simplifying notations. They are neither propositions nor axioms, i.e. neither to be assumed nor to be proven.

- **Propositions: Theorem, Lemma, Corollary**

Theorems, lemmas and corollaries are true propositions.

If an issue is a proposition (and not a definition or an axiom) is often easy to see.

It is much more difficult to prove that it is a true proposition.

- **Proofs**

Chain of logical implications in order to prove the truth of a proposition. The chain starts with an assertion (in most cases a set of axioms) and ends with the asserted proposition.

3. Proof Concepts

3.1 Glossary of mathematical structures

Peano's set of axioms for the natural numbers:

Given a set \mathbb{N} and a successor relation $\sigma \subset \mathbb{N} \times \mathbb{N}$

- 1) $0 \in \mathbb{N}$
- 2) The successor relation is a function.
- 3) The successor relation is injective.
- 4) 0 is not a successor of a natural number.
- 5) With a finite number of successive applications of the successor relation to 0 one can generate *each* element of \mathbb{N} .

Theorem: Peano's set of axioms is minimal.

The removal of one axiom admits structures satisfying all other axioms, but looking totally different from our notion of \mathbb{N} .

3. Proof Concepts

3.2 Mathematical induction

Mathematical induction is a systematic proof concept which is applied very frequently in computer science.

Basic principle (simplest variant):

The issue to prove is a proposition of the form $P(n)$ for an arbitrary $n \in \mathbb{N}$

- 1) **Base case:** Prove: $P(0)$ holds.
- 2) **Inductive step:** Prove: $P(n)$ implies $P(n+1)$.

The proof should not show the validity of $P(n)$, but assume it as prerequisite.
To prove is only the validity of $P(n+1)$.

The mathematical induction must hold for all $n \geq 0$ (no restrictions admitted!)

Examples: see assignments

Own practice makes perfect!

3. Proof Concepts

3.2 Mathematical induction

Generalisation of the basic principle:

The issue to prove is a proposition of the form $P(n)$ for an arbitrary $n \in \mathbb{N}$

- 1) **Base case:** Prove: $P(0)$ holds.
- 2) **Inductive step:** Prove: One of $P(0), \dots, P(n)$ implies $P(n+1)$

Applications:

- 1) Prime factorisation (existence):

Each natural number $n > 1$ may be factored in a product $p_1 \cdot p_2 \cdot \dots \cdot p_k$ such that all factors p_i are prime numbers. (Proof by mathematical induction via n)

- 2) Divisibility proof using the checksum

Each natural number n is divisible by 3 if and only if its checksum is divisible by 3. (Proof by mathematical induction via n)

3. Proof Concepts

3.2 Mathematical induction

Inductive definitions for functions $\mathbb{N} \rightarrow \mathbb{N}$:

The function is defined in 2 steps:

- i. The function is defined for a certain natural number (usually 0 or 1).
- ii. A rule is given how to compute the function value of a number from the function value of the predecessor of that number.

Examples:

1) Factorial $n! = 1 \cdot 2 \cdot 3 \cdot \dots \cdot n$

- i) $0! = 1$
- ii) $n! = n \cdot (n-1)!$

2) Fibonacci numbers F_n

- i) $F_0 = 0 \quad F_1 = 1$
- ii) $F_n = F_{n-1} + F_{n-2}$

3. Proof Concepts

3.2 Mathematical induction

Generalisation: Recursive definitions of arbitrary sets:

The set is defined in 2 steps:

- i. Some elements are defined explicitly (*terminal elements*)
- ii. Some rules are given how to generate new elements from old elements (*recursion rules*).

Examples:

1) Grammar definitions over finite alphabets

- i) Some words are defined directly (so-called constants made of terminal symbols).
- ii) Production rules define how to form new words from existing words of the grammar.

2) Backus-Naur form for the syntax of programming languages (will be discussed in other lectures)

3. Proof Concepts

3.2 Mathematical induction

Applications in geometry and graph theory

Example: Map coloring

Definitions:

A **map** is a decomposition of a two-dimensional area into faces (the „countries“) which are confined by one-dimensional curves (the borders).

Some countries may be open to infinity.

An **admissible coloring** of a map is the assignment of colors to each country such that adjacent countries (having a common border, single points are not considered) have different colors.

Theorem:

Each map generated by just n straight lines (resp. n circles) arbitrarily placed in the plane, may be colored by 2 colors.

3. Proof Concepts

3.3 Other proof strategies

Direct proof

$$(p \rightarrow q) \wedge p \Rightarrow q$$

modus ponens

Proof by
contraposition

$$p \rightarrow q \Leftrightarrow \neg q \rightarrow \neg p$$

contraposition

Indirect proof
(proof by contradiction)

$$(\neg p \rightarrow q) \wedge (\neg p \rightarrow \neg q) \Rightarrow p$$

indirect proof

$$(\neg p \rightarrow p) \Rightarrow p$$

proof by contradiction

$$(\neg p \rightarrow \perp) \Rightarrow p$$

proof by contradiction

3. Proof Concepts

3.3 Other proof strategies

Equivalence proof

$$p \leftrightarrow q \Leftrightarrow (p \rightarrow q) \wedge (q \rightarrow p)$$

replacing equivalence by implications

Proof by cases

$$((p_1 \vee p_2) \rightarrow p) \wedge (p_1 \vee p_2) \Rightarrow p$$

proof by 2 cases

analogously:
Proof by more than 2 cases

Proof by enumeration (Pidgeonhole principle)

Given $f: M \rightarrow N$, where M, N are finite.
Then holds: $|M| > |N| \Rightarrow f$ is not injective.