

# ***Applications of Artificial Intelligence***

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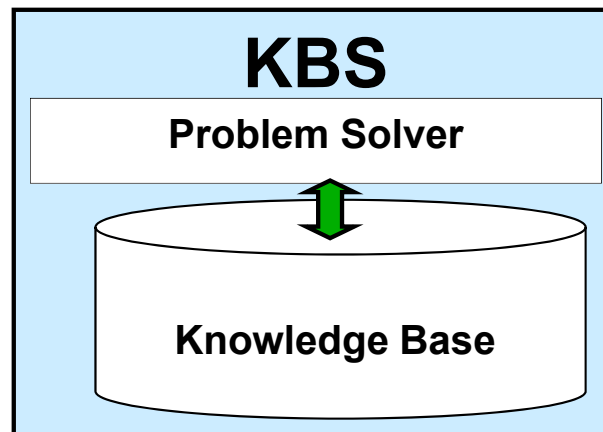
**Chapter 3:**  
Algorithmic Methods of AI

# Search Strategies

Relevance of search strategies for logic problems:

Search for a solution of the satisfiability problem

Relevance of search strategies for knowledge-based systems:



The problem solver nearly always has to solve a satisfiability problem for constraints of the knowledge base!

➔ *All problem solvers search*

# Example for a knowledge-based search engine: PROLOG

**PROLOG is knowledge-based:**

- **Knowledge base**

Facts and rules, dynamically extensible

- **Inference engine („Problem Solver“)**

deriving facts and rules automatically

- **Dialog component**

**Input:** Query

**Output:** yes / no, specification of unification applied in case of success,  
write as a „side effect“

Yes: The predicate of the query can be concluded from knowledge base.

No: The predicate of the query cannot be concluded from knowledge base.

*No does not imply that it can be concluded that the predicate is false.*

# **Application: Class Scheduling**

**Given finite sets Courses, Rooms, Time slots**

**Task: Generate an injective (one-to-one) function  $C \rightarrow R \times T$**

Strict Constraints (must be fulfilled in any case):

- **Certain courses must not take place at the same time.**
- **For some courses, certain time slots are not admitted.**
- **For some courses, certain rooms are not admitted.**

Soft constraints (may be violated):

- **Certain courses should not take place at some times.**
- **Certain courses should take place successively.**
- **Certain courses should not take place on the same day.**

Optimisation function:

- **fewest violations of soft criteria**
- **fewest free periods for certain study programmes**
- **most uniform distribution on different days for ...**

# **Application: Traveling Salesman Problem (TSP)**

**Given:** Graph with node set  $V$  and weighted edges between the nodes

**Task:** Find a round trip traversing the graph edges reaching each node at least once.

Constraints:

- Only edges of the graph are to be used.

Optimisation function:

- Minimise the global edge costs !

**Generalisation in logistic applications:**

Constraints:

- Load and distribute goods obeying capacity restrictions !
- Consider time windows in which delivery may take place !

Soft criteria (may be violated):

- Certain edges have to be avoided.
- Certain time windows are unfavourable.

# Application: Shortest Path Problem

**Given:** Graph with node set  $V$  and weighted edges between the nodes

**Task:** For two selected nodes  $S$  and  $T$ , find a path through the graph.

Constraints:

- Only edges of the graph are to be used.

Optimisation function:

- Minimise the global edge costs !

**Generalisation in transport applications (public or individual):**

Constraints:

- Edge costs depend on the time used.
- Travelers are subject to individual constraints that may value certain edges in a different way or make them even unusable.

Soft criteria (may be violated):

- Certain edges have to be avoided
- Certain time windows are unfavourable

# Constraint Satisfaction Problem (CSP)

## Specification of a CSP:

- **set of variables**
- **domains of definition**
- **constraints: relations between variables (strict or soft)**  
(normally, equations or inequalities)
- **optimisation criterion**  
(normally, a real-valued function on the variables which has to be minimised or maximised )

## valid solution:

assignment of values to all variables such that all strict constraints are satisfied

## optimal solution:

valid solution optimising the optimisation criterion

Constraint Solver are programmes which find a valid or even optimal solution for a given CSP automatically.

# Traversing search graphs

## 1. search method: Find a global solution via partial solutions

- **Node: describes state in search domain**
  - State: Assigning values to variables  
**Each state has got an evaluation.**
- **Edge: transition of a state into a subsequent state**  
(usually feasible in one direction only)
  - Subsequent state: Assign a value to a new variable  
keeping the values for the already assigned variables
- **Initial node: initial state**  
(is always unique)
  - Initial node: No variable has got a value.
- **Final node: final state wanted (problem solution)**  
(several ones are admissible)
  - Final node: All specified variables have got admissible values.



# Traversing search graphs

## Different search goals are possible:

- 1) Find some solution or detect that there is none.
  - 2) Find further solutions or detect that there are none.
  - 3) Find all solutions.
  - 4) Find an optimal solution or at least a rather good one.
- Expansion of a node: Compute all subsequent resp. adjacent nodes

Different search strategies differ in:

**Which node has to be expanded next?**

Special case:

- **Search graph is a search tree**  
(makes the path from initial node to each final node unique)

# Example for search trees in CSP

## Constraint system:

- 1)  $(2 < x < 4)$
- 2)  $(0 < y < 6)$
- 3)  $(x + y > 7)$
- 4)  $(x \cdot y < 10,5)$

## Domain of definition for valid solutions:

$x, y \in \mathbf{Q}$ ,  
at most  $k$  positions after the decimal point

## Optimisation criterion:

Minimise  $|y - x|$

## Search tree:

- Each node has got fixed  $x$  and  $y$  values, nodes may be valid or not valid, for each node there is a unique optimal value.
- In level  $i$ , each  $x$  value has got  $i$  entries after the decimal point, the  $y$  value is minimum according constraint 3).

## Expansion strategies:

- Only valid nodes may be expanded.
- The rightmost valid node on the next level is expanded.
- ...

# Example for search trees in CSP

## Constraint system:

- 1)  $(2 < x < 4)$
- 2)  $(0 < y < 6)$
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- 4)  $(x \cdot y < 10,5)$

## Domain of definition for valid solutions:

$x, y \in \mathbf{Q}$ ,  
at most  $k$  positions after the decimal point

## Optimisation criterion:

Minimise  $|y - x|$

### for bounded $k$ :

- finite search space
- several valid solutions
- always 1 optimal solution

### for unbounded $k$ :

- infinite search space
- infinitely many valid solutions
- no optimal solution

# Uninformed Search Strategies

In general, only *blind (uninformed) search* is possible:

There is no information about good search directions (the target is only recognised on arrival)

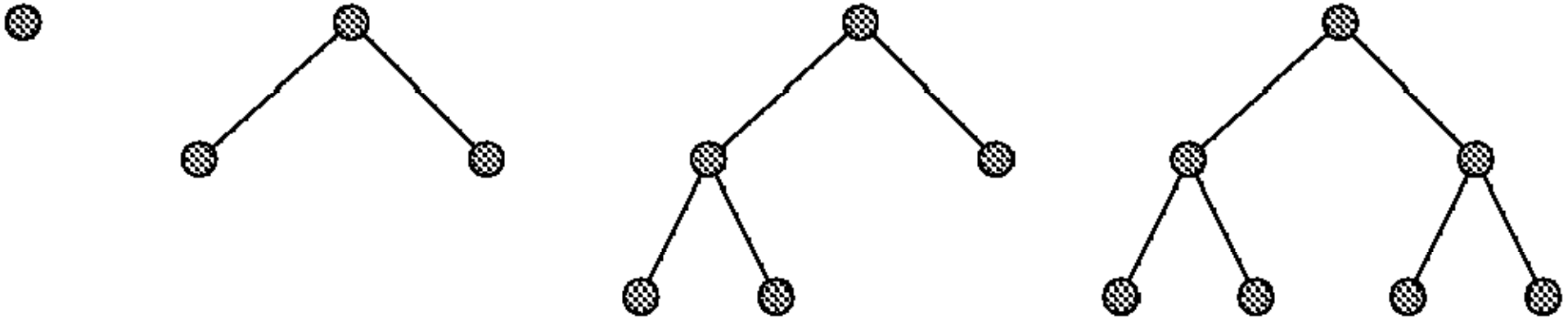
The most important search strategies:

1. breadth first search
2. depth first search
3. best first search

Weitere Infos zum Thema Suchen: Seminarvortrag und Ausarbeitung von Sven Schmidt, SS 2005, Nr. 4  
<http://www.fh-wedel.de/archiv/iw/Lehrveranstaltungen/SS2005/SeminarKI.html>

# Uninformed Search Strategies

## breadth first search:



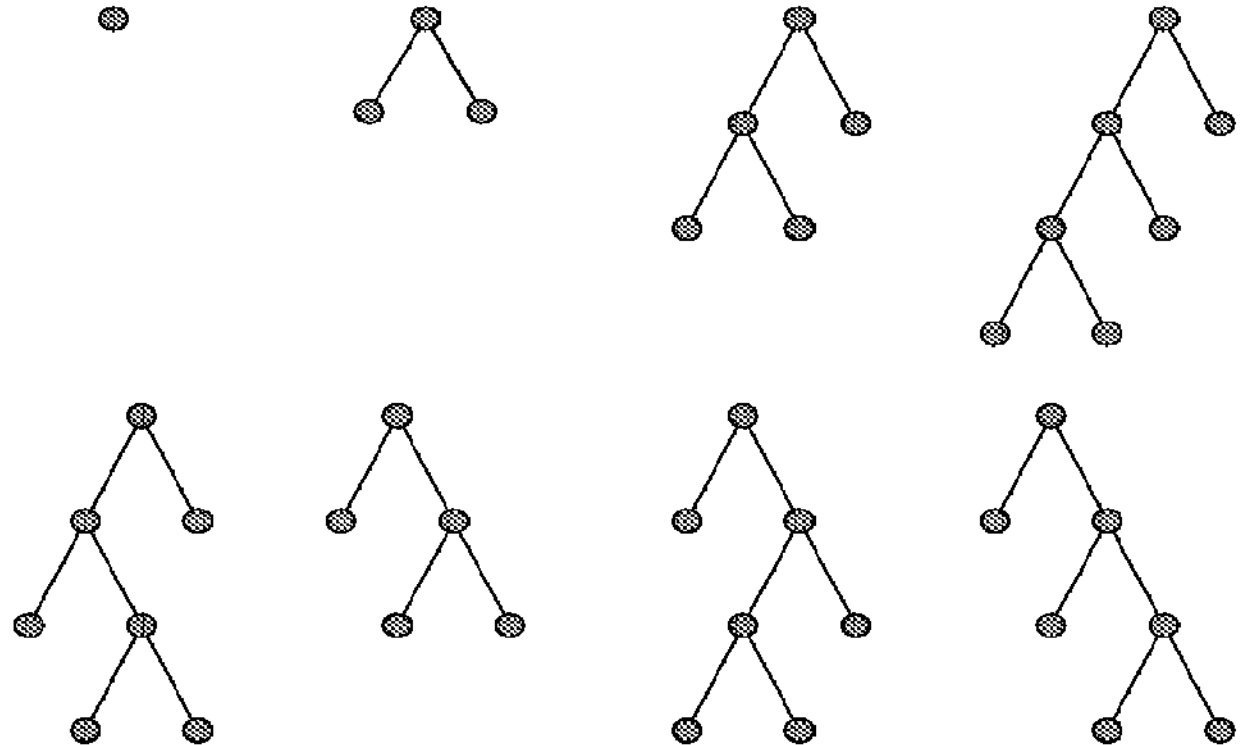
*problem size: depth of search tree*

**Exponential** time and space

for AI search procedures not relevant in most cases

# Uninformed Search Strategies

## depth first search:



**Exponential** time

*problem size: depth of search tree*

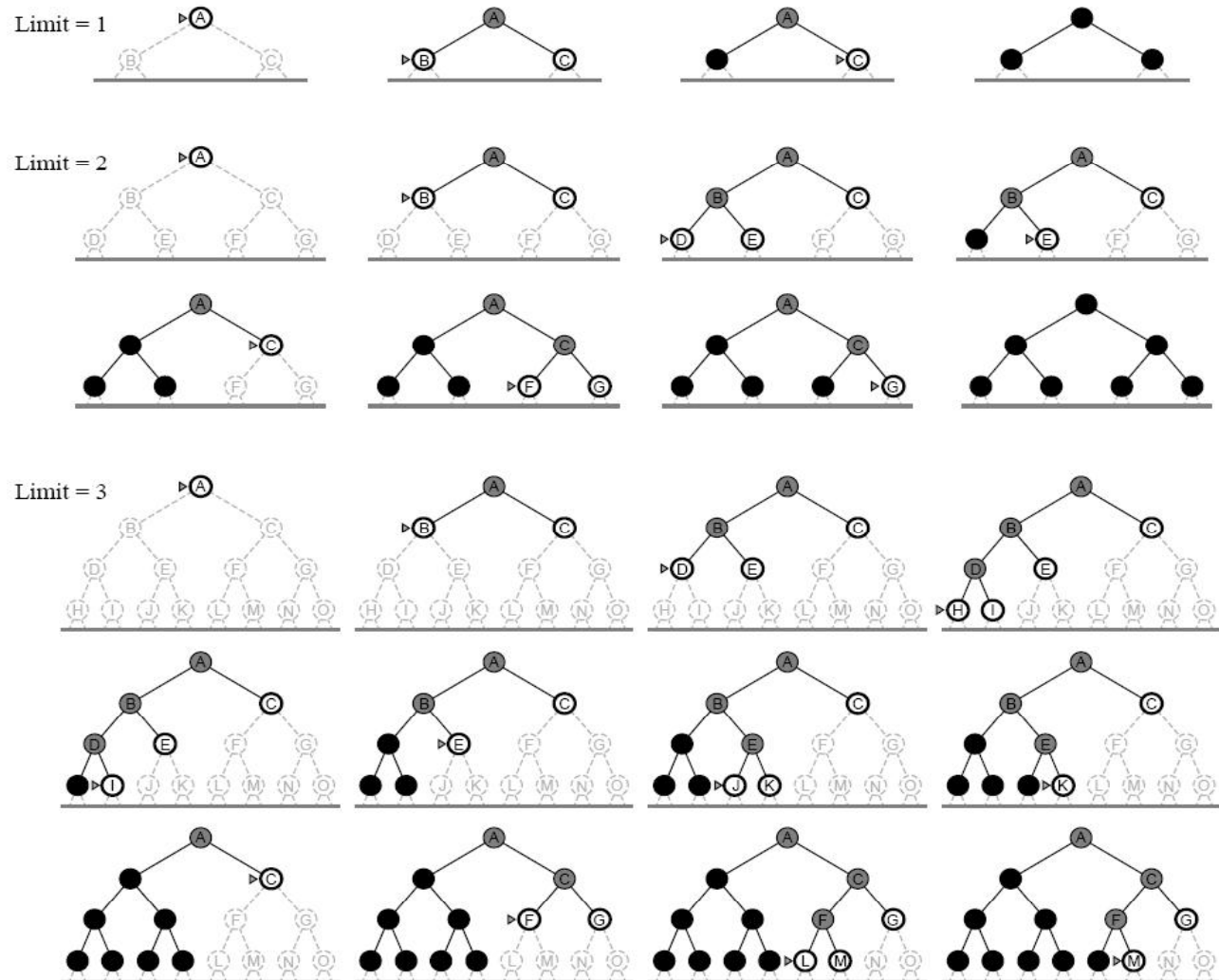
**Linear** space

The „normal case“ for standard AI procedures

# Uninformed Search Strategies

## bounded depth first search:

- Execute depth first search only up to limited search level.
- If not successful, increase limit for search level and start depth first search again.



# Uninformed Search Strategies

## best first search:

- Additional information: Evaluation label for the nodes.
- Search target: Find the best solution first (and the others later).
- Expand the node with best evaluation first.

→ *Mixture of depth first and breadth first searches*

In the *worst case* this is no better than breadth first search:

**Exponential** effort for time and space

*Problem size:*

*Depth of search tree*

For good evaluation functions, *the average case* is much better!

For special problems, even the worst case is much better:

Example: Special case „Shortest Path Problem“:

Dijkstra's algorithm (**quadratic** effort for time, **linear** for space)

*Problem size: Number of nodes*



# Uninformed Search Strategies

## Dijkstra's algorithm for weighted graphs

(special case of best first search)

For all edges  $(u,v)$  there is a weight function:  
 $length(u,v) :=$  length of an edge from node  $u$  to node  $v$

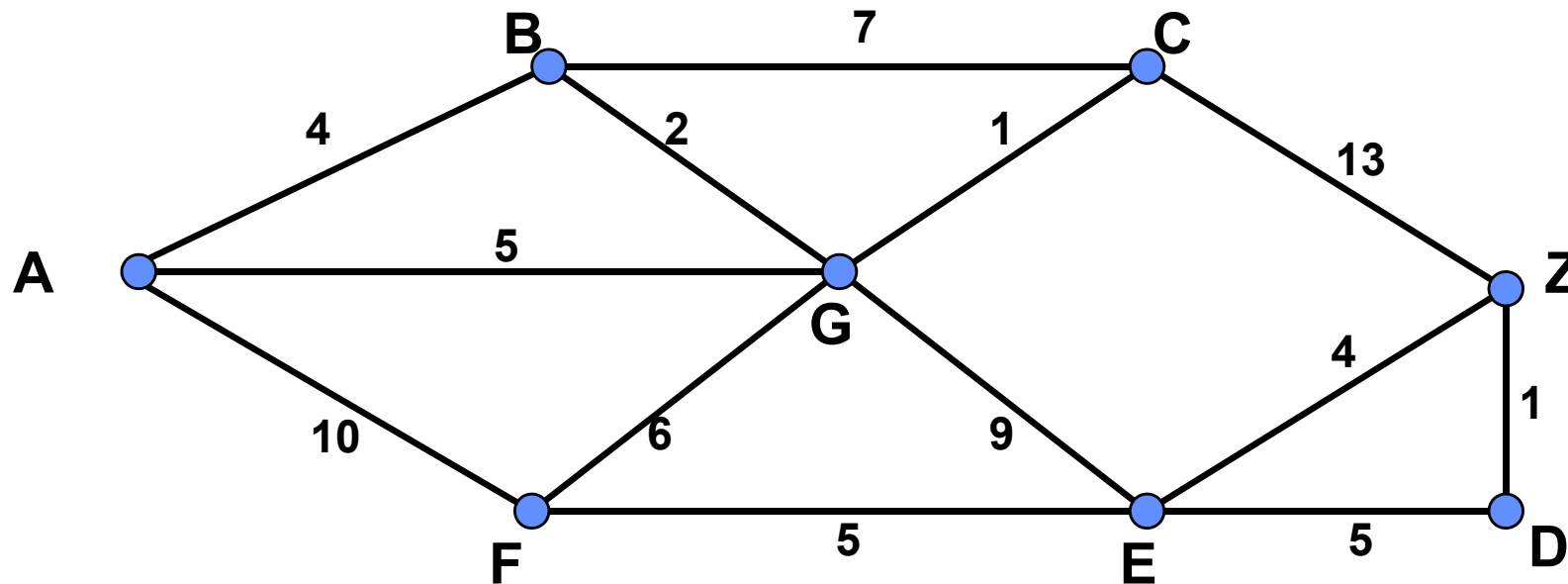
**Requirement for edge weights:**

All lengths have to be nonnegative.

**Algorithm for the search of a path from A to B having minimal global edge length:**

- Put A into the set **Done**. Label A by  $distance(A) := 0$ .  
Put all other nodes into the set **YetToCompute**.  
Label all neighbors N of A by  $distance(N) := length(A,N)$   
and all othe nodes by  $distance(V) := \infty$ .
  - Repeat:
    - Choose node V from **YetToCompute** with minimum  $distance(V)$   
and shift V to the set **Done**.
    - Update all neighbors N of V that are still in **YetToCompute**:  
 $distance(N) := \min \{distance(N), distance(V) + length(V,N)\}$ .
- until V = B

# Example for Dijkstra's algorithm



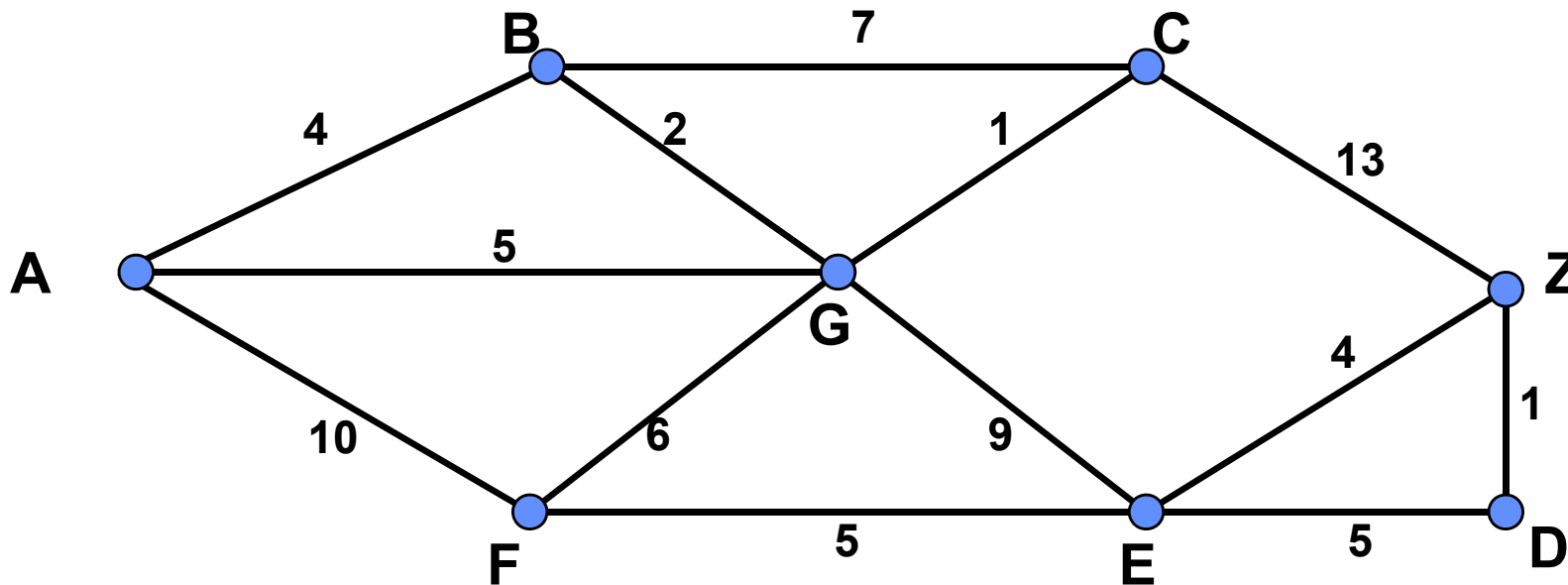
**Shortest path from A to Z:  $A \rightarrow F \rightarrow E \rightarrow Z$  (17 units)**

Animation dieser Aufgabe und weitere Infos zum Algorithmus von Dijkstra:

Seminarvortrag und Ausarbeitung von Alex Prentki, WS 2004, Nr. 14

<http://www.fh-wedel.de/archiv/iw/Lehrveranstaltungen/WS2004/SeminarMC.html>

# Example for Dijkstra's algorithm



**Shortest path from G to Z:  $G \rightarrow E \rightarrow Z$  (13 units)**

Node (distance from G, direct predecessor):

A(5,G)		A(5,G)		<span style="border: 1px solid red; padding: 2px;">A(5,G)</span>				
B(2,G)		<span style="border: 1px solid red; padding: 2px;">B(2,G)</span>						
<span style="border: 1px solid red; padding: 2px;">C(1,G)</span>								
D( $\infty$ )	$\rightarrow$	D( $\infty$ )	$\rightarrow$	D( $\infty$ )	$\rightarrow$	D( $\infty$ )	$\rightarrow$	D(14,E)
E(9,G)		E(9,G)		E(9,G)		<span style="border: 1px solid red; padding: 2px;">E(9,G)</span>		
F(6,G)		F(6,G)		F(6,G)		<span style="border: 1px solid red; padding: 2px;">F(6,G)</span>		
Z( $\infty$ )		Z(14,C)		Z(14,C)		Z(14,C)		<span style="border: 1px solid red; padding: 2px;">Z(13,E)</span>

# Informed (Heuristic) Search Strategies

## Given the following kind of information for weighted graphs:

**Distance function  $h(\text{state})$**  being an *estimated* measure for the real distance to the target

- easily computable
- but accurate enough not to lead the search procedure to the wrong target

$h()$  provides a nonnegative value: The smaller the value, the closer the target

## Application: „Hill climbing“

- Informed add-on to **depth first search**:
- Among the possible candidates, expand the node with best heuristic value.
- In case of backtracking expand the next best node respectively.

**Main problem: Long halt in local maxima**

# Informed (Heuristic) Search Strategies

## Given the following kind of information for weighted graphs:

**Distance function  $h(\text{state})$**  being an *estimated* measure for the real distance to the target

- easily computable
- but accurate enough not to lead the search procedure to the wrong target

$h()$  provides a nonnegative value: The smaller the value, the closer the target

## Application: Optimistic hill climbing

- Special case of informed add-on to **depth first search**
- Expand only the node with best heuristic value.
- Backtracking is omitted: If heuristic value was wrong, the best result will not be found.

**Main problem: Getting stuck in local maxima**

# Informed (Heuristic) Search Strategies

## Given the following kind of information for weighted graphs:

**Distance function  $h(\text{state})$**  being an *estimated* measure for the real distance to the target

- easily computable
- but accurate enough not to lead the search procedure to the wrong target

$h()$  provides a nonnegative value: The smaller the value, the closer the target

## Application: A\* algorithm

- Informed add-on to **best first search**
- Expand the node where the sum of node label **plus** heuristic function is minimum.

Weitere Infos für die Anwendung von A\* in öffentlichen Verkehrsnetzen:

Seminarvortrag und Ausarbeitung von Stefan Görlich, SS 2005, Nr. 5

<http://www.fh-wedel.de/archiv/iw/Lehrveranstaltungen/SS2005/SeminarKI.html>

# Informed (Heuristic) Search Strategies

## A\* algorithm for weighted graphs

(Generalisation of Dijkstra's algorithm)

(State evaluation = Node evaluation)

Requirement for edge weights: All edge lengths must be nonnegative.

Requirement for heuristic function  $h_B(u)$  for estimating the real distance  $d_B(u)$  to target node B:

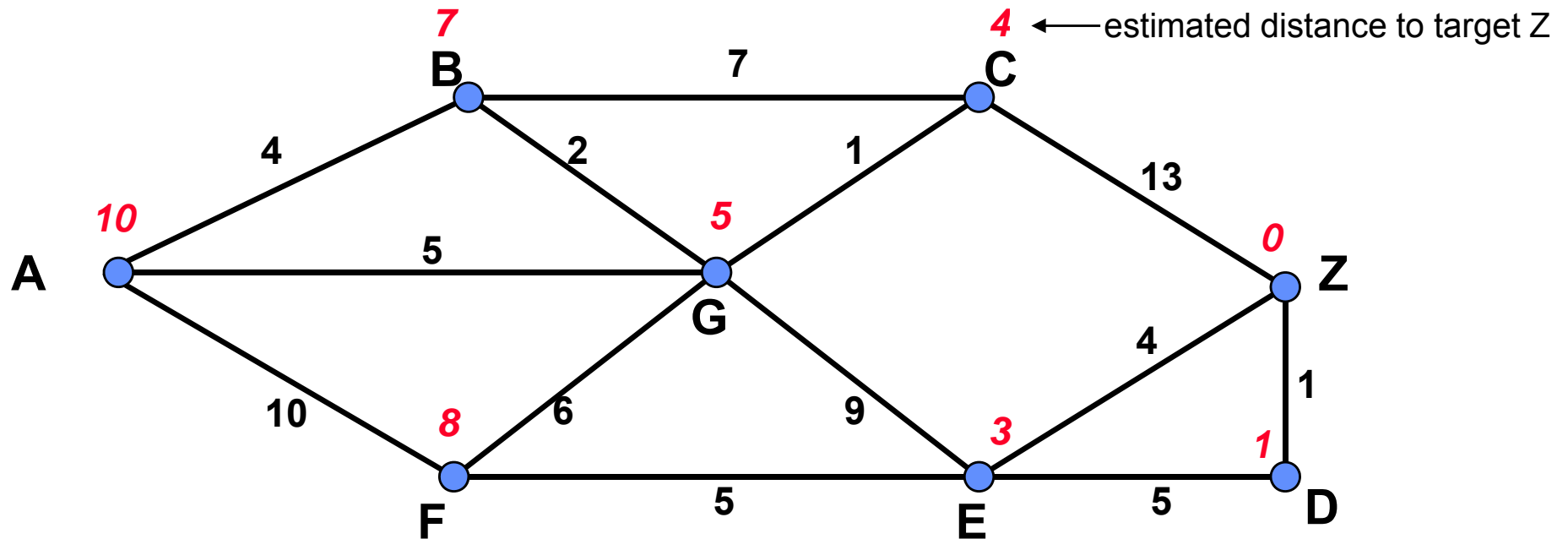
**Admissibility:**  $h_B(u) \leq d_B(u)$

**Monotonicity:**  $h_B(u) \leq h_B(v) + \text{length}(u,v)$

**Algorithm for the search of a path from A to B having minimal global edge length:**

- Put A into the set **Done**. Label A by  $\text{distance}(A) := 0$ .  
Put all other nodes into the set **YetToCompute**.  
Label all neighbors N of A by  $\text{distance}(N) := \text{length}(A,N)$  and  
 $\text{heuristic}(N) := \text{distance}(N) + h_B(N)$   
and all other nodes by  $\text{distance}(V) := \infty$  and  $\text{heuristic}(V) := \infty$ .
  - Repeat:
    - Choose node V from **YetToCompute** with minimum  $\text{heuristic}(V)$   
and shift V to the set **Done**.
    - Update all neighbors N of V that are still in **YetToCompute**:  
 $\text{distance}(N) := \min \{ \text{distance}(N), \text{distance}(V) + \text{length}(V,N) \}$ .  
 $\text{heuristic}(N) := \text{distance}(N) + h_B(N)$  (if update is necessary).
- until V = B

# Example for A\* algorithm



**Shortest path from G to Z:  $G \rightarrow E \rightarrow Z$  (13 units)**

Node (real distance from G, direct predecessor, estimated distance to target):

A(5,G,15)		A(5,G,15)		A(5,G,15)		A(5,G,15)
B(2,G,9)		<span style="border: 1px solid red; padding: 2px;">B(2,G,9)</span>				
<span style="border: 1px solid red; padding: 2px;">C(1,G,5)</span>						
D( $\infty$ )	$\rightarrow$	D( $\infty$ )	$\rightarrow$	D( $\infty$ )	$\rightarrow$	D(14,E,15)
E(9,G,12)		E(9,G,12)		<span style="border: 1px solid red; padding: 2px;">E(9,G,12)</span>		
F(6,G,13)		F(6,G,14)		F(6,G,14)		F(6,G,14)
Z( $\infty$ )		Z(14,C,14)		Z(14,C,14)		<span style="border: 1px solid red; padding: 2px;">Z(13,E,13)</span>



# Informed (Heuristic) Search Strategies

## A\* algorithm for weighted graphs

(Generalisation of Dijkstra's algorithm)

Requirement for edge weights: All edge lengths must be nonnegative.

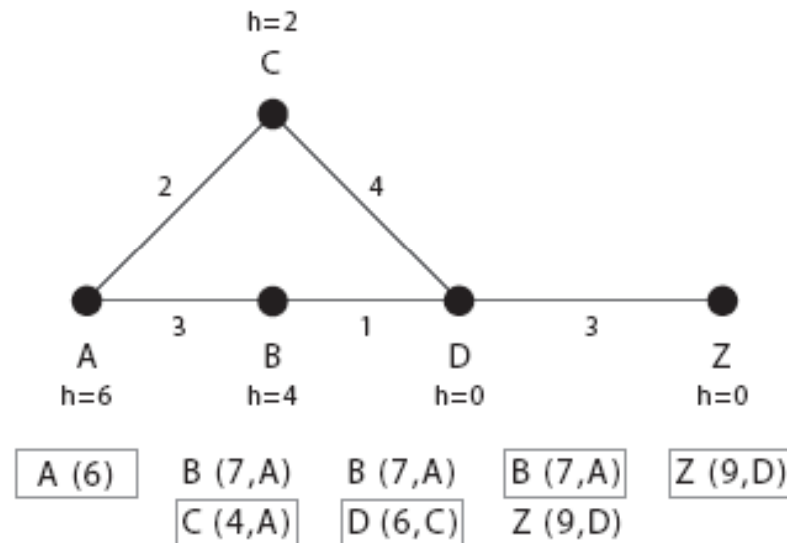
Requirement for heuristic function  $h_B(u)$  for estimating the real distance  $d_B(u)$  to target node B:

Admissability:  $h_B(u) \leq d_B(u)$

What happens if monotonicity is abandoned ?

$$h_B(u) \leq h_B(v) + \text{length}(u,v)$$

Example:



Aus: Diplomarbeit Andre Keller (SS 2008)

**Error:** D will not be updated anymore because it is already in **Done**

# Informed (Heuristic) Search Strategies

## A\* algorithm for weighted graphs

(Generalisation of Dijkstra's algorithm)

(State evaluation = Node evaluation)

Requirement for edge weights: All edge lengths must be nonnegative.

Requirement for heuristic function  $h_B(u)$  for estimating the real distance  $d_B(u)$  to target node B:

**Admissability only:**  $h_B(u) \leq d_B(u)$

**Algorithm for the search of a path from A to B having minimal global edge length:**

- Put A into the set **Done**. Label A by  $distance(A) := 0$ .  
Put all other nodes into the set **YetToCompute**.  
Label all neighbors N of A by  $distance(N) := length(A,N)$  and  
 $heuristic(N) := distance(N) + h_B(N)$   
and all other nodes by  $distance(V) := \infty$  and  $heuristic(V) := \infty$ .
- Repeat:  
Choose node V from **YetToCompute** with minimum  $heuristic(V)$   
and shift V to the set **Done**.  
Update all neighbors N of V from **Done and YetToCompute**:  
 $distance(N) := \min \{distance(N), distance(V) + length(V,N)\}$ .  
 $heuristic(N) := distance(N) + h_B(N)$  (if update is necessary).  
**If an update occurred to a neighbor N\* of Done: Shift N\* back to YetToCompute**  
until V = B

# General Optimisation Methods for CSP

**For the 1. search method introduced so far:**

**Approaching global solutions via partial solutions:**

## **Backtracking**

- Test all constraints even if the variables are not all assigned
- States in which certain constraints are violated already should not be expanded further, but rather traced back.

## **Forward Checking**

- Reduce all domains for variables not assigned such that the future assignment still has a chance to be feasible.
- Trace back if this leads to empty domains.

# General Optimisation Methods for CSP

## Example for forward checking:

### 8-queens-problem (solution by Bratko, 3rd method)

#### Knowledge base:

```
queens3(YList) :-  
  sol(YList, [1,2,3,4,5,6,7,8],  
        [1,2,3,4,5,6,7,8],  
        [-7,-6,-5,-4,-3,-2,-1,0,1,2,3,4,5,6,7],  
        [2,3,4,5,6,7,8,9,10,11,12,13,14,15,16]).
```

```
sol([], [], DomainY, DomainU, DomainV).
```

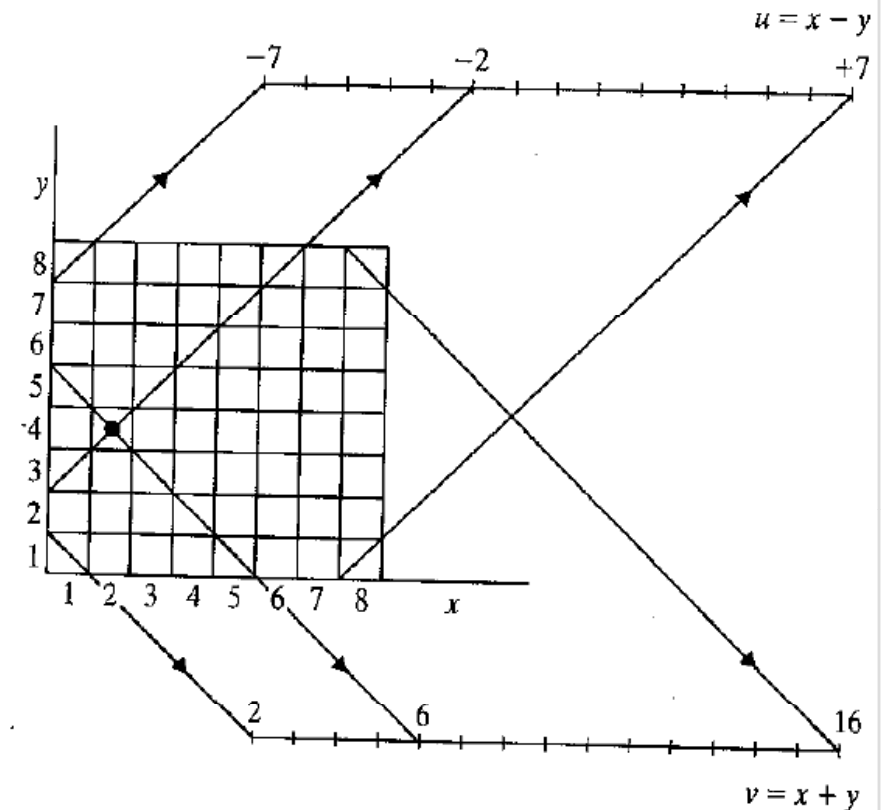
```
sol([Y | YTail], [X | XTail], DomainY, DomainU, DomainV) :-  
  del(Y, DomainY, ReducedDomainY),  
  U is X - Y,  
  del(U, DomainU, ReducedDomainU),  
  V is X + Y,  
  del(V, DomainV, ReducedDomainV),  
  sol(YTail, XTail, ReducedDomainY, ReducedDomainU,  
      ReducedDomainV).
```

```
del(Item, [Item|List], List).
```

```
del(Item, [First|Tail], [First|ResultTail]) :-  
  del(Item, Tail, ResultTail).
```

#### Query:

```
?-queens3(YList).
```



# Traversing search graphs

## Alternative 2. search method:

### Systematic improvement of preliminary (global) solutions

- **Node: describes state in search domain**
  - **State: Assignment of values to all variables**  
(not all of them need be admissible)  
**Each state has got an evaluation.**
- **Edge: Transition of a state into an adjacent state**  
(unusually feasible in both directions)
  - **Adjacent state: New values for certain variables**  
keeping all values for the other variables
- **Initial node: initial state**  
(is always unique)
  - **Initial node: Start with any assignment to the variables.**
- **Final node: final state wanted (problem solution)**  
(several ones are admissible)
  - **Final node: No adjacent state has got a better evaluation than the present one.**

# General Optimisation Methods for CSP

## For the 2. search method of systematic improvement:

### Min-Conflicts procedure:

Idea:

- Start with an arbitrary assignment of values (valid or not).
- Assign new values for certain variables such that the new assignment bares fewer conflicts than the old one.

Advantages:

- happens to show good run time behaviour
- „repair strategy“ if something changes dynamically

Disadvantages:

- „Getting stuck“ in local minima
  - counter measures: random walk, tabu list, ...

Weitere Details zum Thema Constraintsysteme:

Seminarvortrag und Ausarbeitung von Stefan Schmidt, SS 2005, Nr. 6,

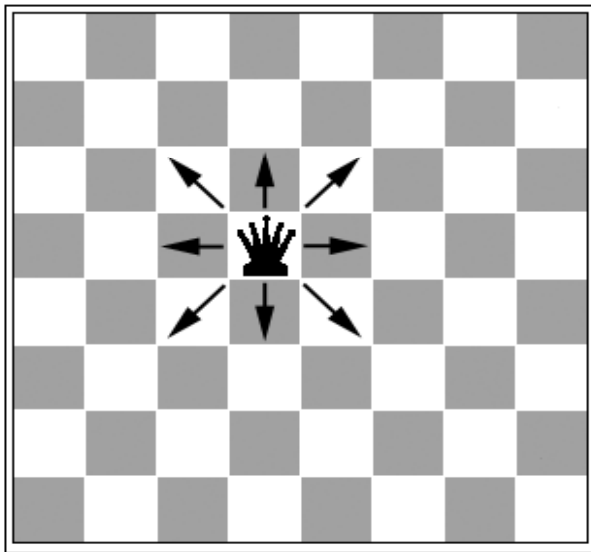
<http://www.fh-wedel.de/archiv/iw/Lehrveranstaltungen/SS2005/SeminarKI.html>

# General Optimisation Methods for CSP

For the 2. search method of systematic improvement:

Min-Conflicts procedure:

Application: 8-queens-problem



Quelle: Seminarvortrag von Stefan Schmidt, SS 2005, Nr. 6,

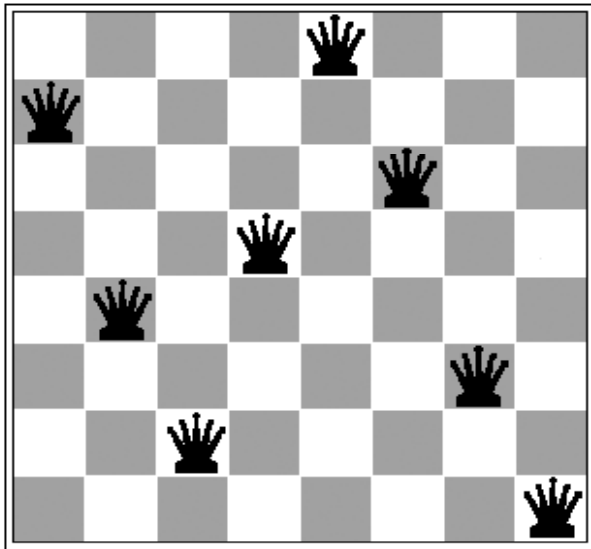
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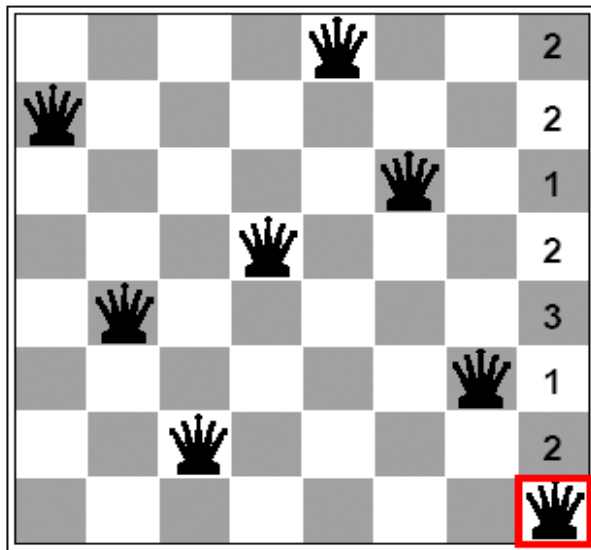


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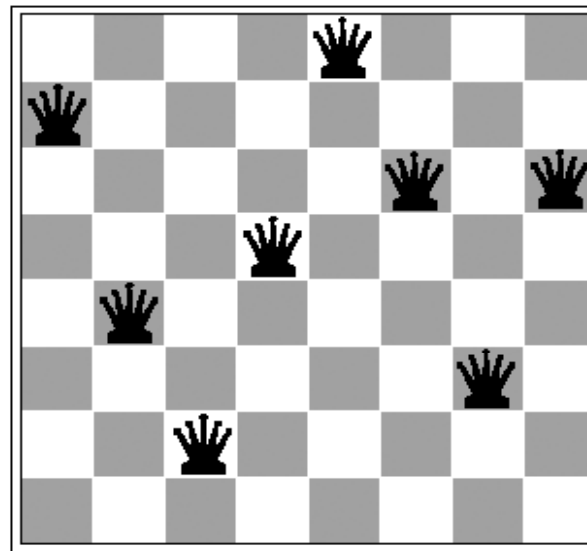
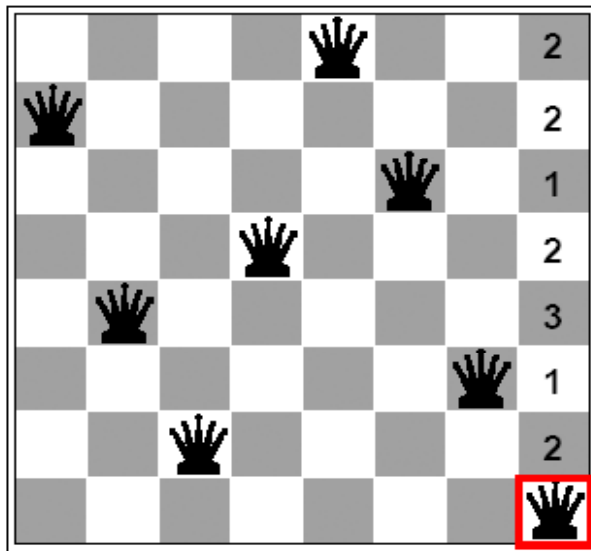
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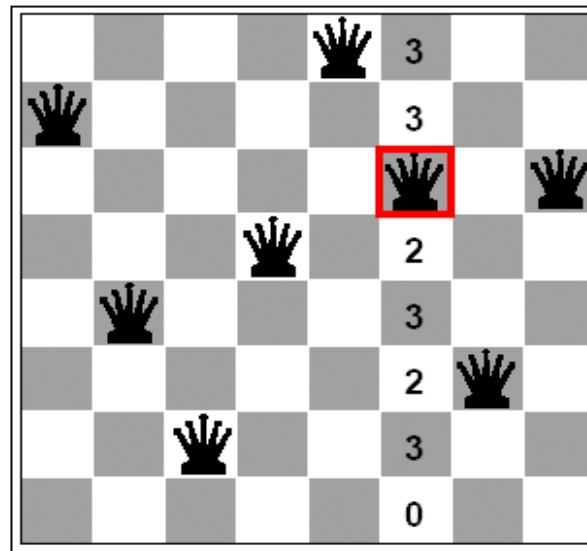
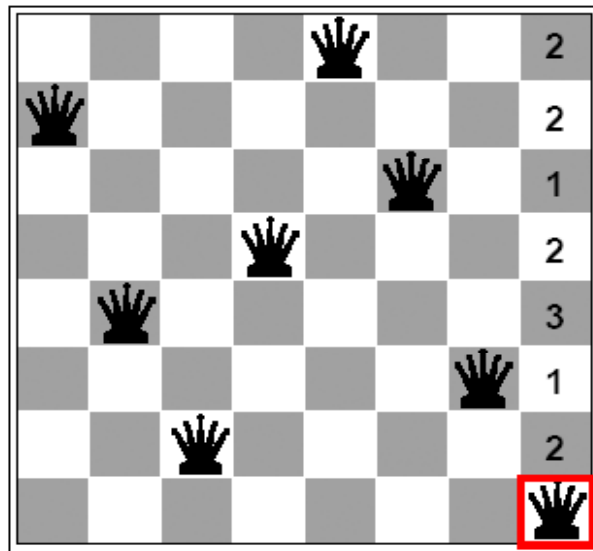
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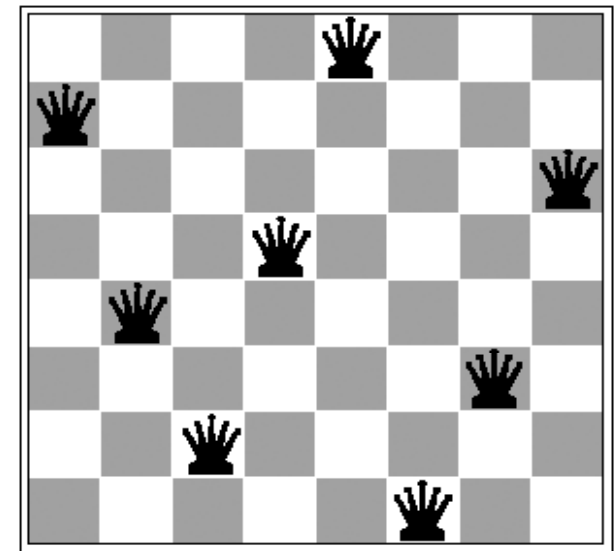
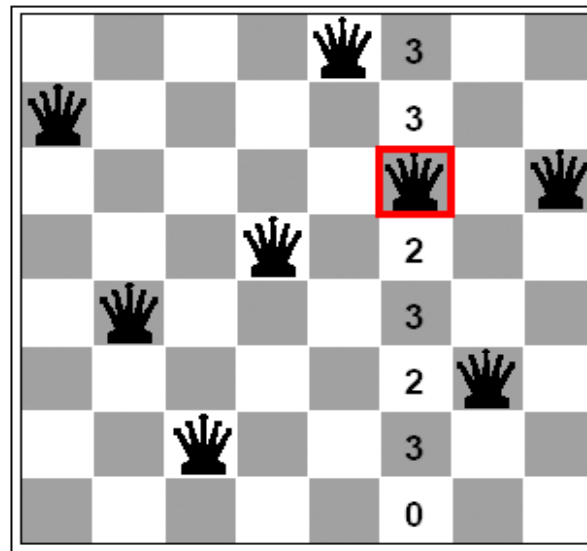
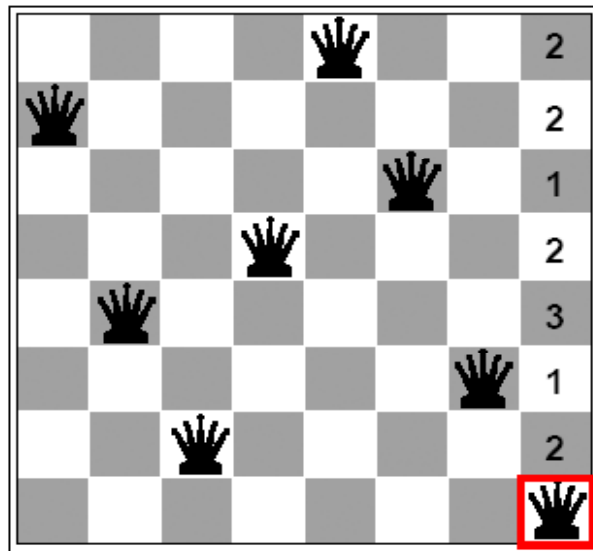
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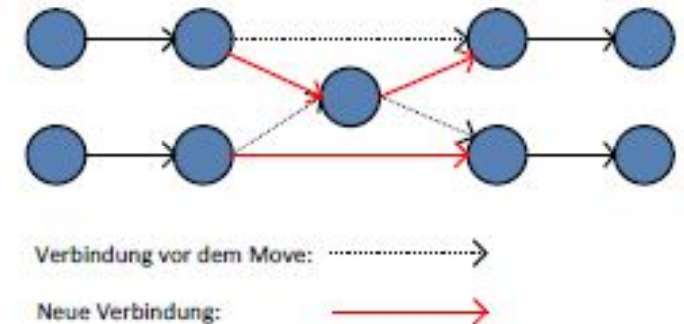
<http://www.fh-wedel.de/archiv/iw/Lehrveranstaltungen/SS2005/SeminarKI.html>

# General Optimisation Methods for CSP

**For the 2. search method of systematic improvement:**

**Working with tabu lists in search graphs:**

- Determine a certain validity range for the algorithm, e.g. by a given number of operations
- Protocol all edges used in a transition from one state to another
- All edges used within the previous validity range are not to be used again, neither their counterdirection.



**Further enhancement: Simulated annealing**

- Admit temporary deteriorations.
- Diminish the tolerance bound for deterioration in the course of algorithmic progress gradually.

**These methods will mainly be used in improvements of global solutions**

- Good results in logistics (TSP generalisations)